



# **1540 Committee**

Security Council Committee established pursuant to resolution 1540 (2004)

## **Engaging parliaments of the Pacific region in the implementation of UN Security Council resolution 1540**

Wellington, New Zealand

18-20 September 2019

### **National Implementation in Pacific Region**

**Kiwako Tanaka**

Group of Experts supporting the 1540 Committee

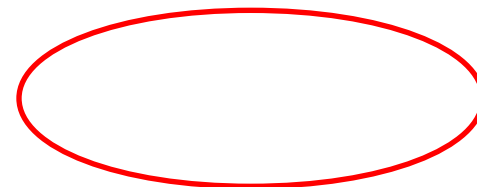
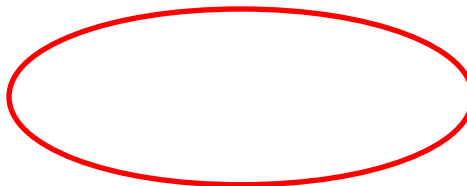
"The views expressed are those of the presenter and do not necessarily represent the views of the 1540 Committee"



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## Selected Items of the “1540 Toolbox”





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## National Reports

- **183 out of 193** UN Member States submitted at least one report to the 1540 Committee
- **Latest development:** Central African Republic submitted its first national report on 27 August 2019
- Multi-layer approach for engagement with non-reporting states by the Committee and the Group of Experts
- Once a first report has been sent, all Member States are encouraged to submit additional information at any time or upon the request of the 1540 Committee, on their implementation of resolution 1540 (2004)

10 States yet to submit their first report

- ❖ Chad
- ❖ DPRK
- ❖ Guinea
- ❖ Mali
- ❖ Mauritania
- ❖ Mozambique
- ❖ Solomon Islands
- ❖ Somalia
- ❖ Swaziland
- ❖ The Gambia



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## The 1540 Matrix

### II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement	National legal framework				Enforcement and civil/criminal pen			
		X / ?			Source document of national implementation law	X / ?			Source document
		N W	C W	B W		N W	C W	B W	
1	manufacture								
2	acquire								
3	possess								
4	develop								
5	transport								
6	transfer								
7	use								
8	attempt to engage in abovementioned activities								
9	participate as an accomplice in abovementioned activities								
10	assist in abovementioned activities								
11	finance abovementioned activities								
12	abovementioned activities related to means of delivery <sup>1</sup>								

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

- ✓ To organize information, originates primarily from national reports
- ✓ Complemented by official government information, including IROs
- ✓ Reference tool for facilitating technical assistance and enhance dialogue with States
- ✓ Not a tool for measuring compliance of States
- ✓ “x” in any data field signifies only that the reporting State asserts that it has taken relevant measures or that the 1540 Committee has found specific reference to the applicable legal basis or executive measures as evidence of such steps



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## 1540 Committee

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RESOLUTIONS, COMMITTEE REPORTS & SC BRIEFINGS	ABOUT 1540 COMMITTEE	NATIONAL IMPLEMENTATION	ASSISTANCE	COOPERATION	TRANSPARENCY & OUTREACH	COMPREHENSIVE & ANNUAL REVIEWS
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Home / National Implementation / 1540 Matrices / Committee Approved Matrices

### Committee Approved Matrices

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

Matrix Document	Date of Approval
<a href="#">Afghanistan</a>	23 December 2015
<a href="#">Albania</a>	23 December 2015
<a href="#">Algeria</a>	23 December 2015
<a href="#">Andorra</a>	23 December 2015
<a href="#">Angola</a>	23 December 2015
<a href="#">Antigua and Barbuda</a>	23 December 2015
<a href="#">Argentina</a>	23 December 2015
<a href="#">Armenia</a>	23 December 2015
<a href="#">Australia</a>	23 December 2015
<a href="#">Austria</a>	23 December 2015
<a href="#">Azerbaijan</a>	23 December 2015

NATIONAL IMPLEMENTATION
General Information
Guidelines for Reporting
National Reports
National Implementation Action Plans
1540 Matrices
Matrix Template
Committee Approved Matrices
National Points of Contact
Other National Documents
Dialogues and Visits to States
Experiences Shared, Lessons Learned, and Effective Practices
Technical Reference Guide
Legislative Database
General Information

<https://www.un.org/en/sc/1540/national-implementation/1540-matrices/committee-approved-matrices.shtml>



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## Obligations under Resolution 1540 (2004)





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## 1540 Matrix measures: All measures – Pacific Islands

**All measures: 3% increase**





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## 1540 Matrix measures: All measures – Pacific Islands

**NW: +4%**

■ 2011

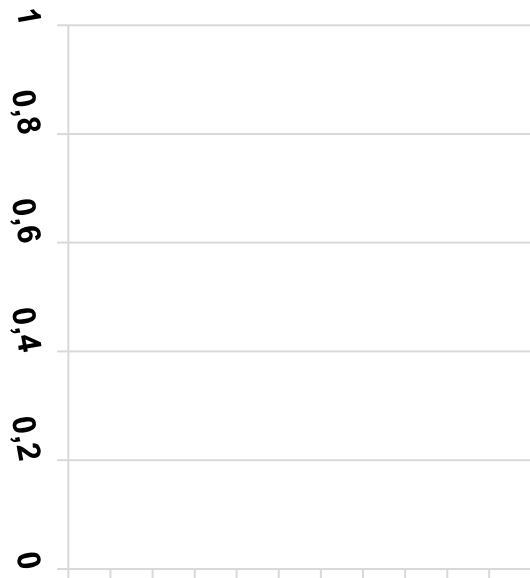
■ 2016



**CW: +6%**

■ 2011

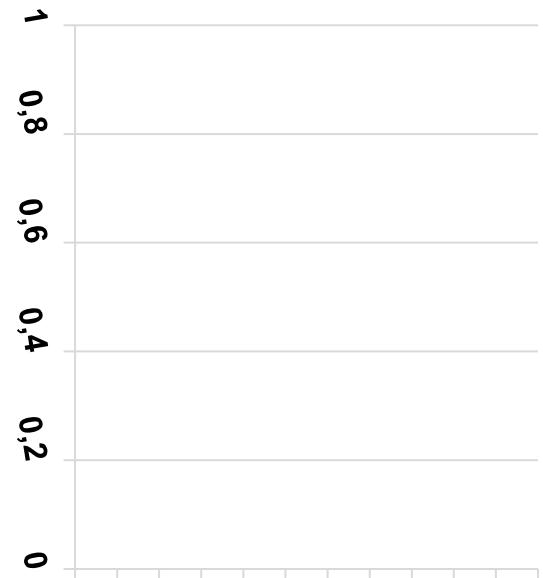
■ 2016



**BW: 0%**

■ 2011

■ 2016







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1540 Matrix measures: OP 2 – NW/CW/BW prohibitions - Pacific Islands





# 1540 Committee

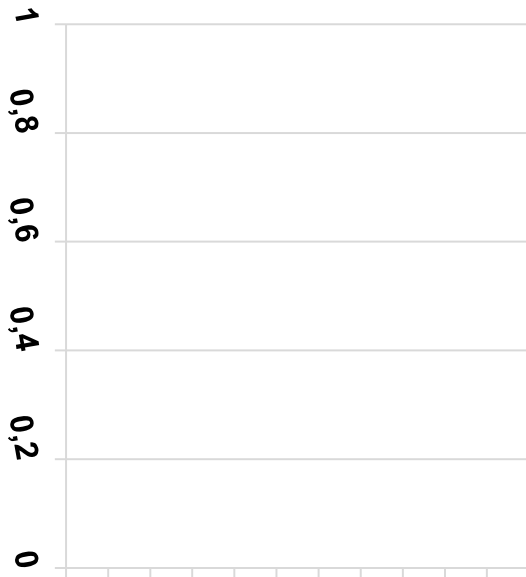
Security Council Committee established pursuant to resolution 1540 (2004)

## 1540 Matrix measures: OP 2 – NW/CW/BW prohibitions - Pacific Islands

**NW: +11%**

■ 2011

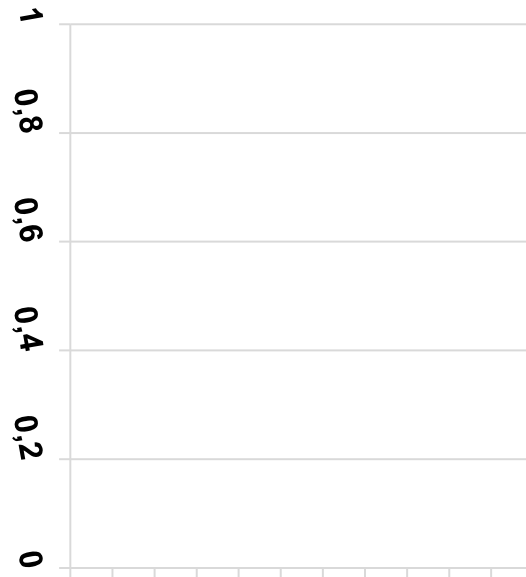
■ 2016



**CW: +18%**

■ 2011

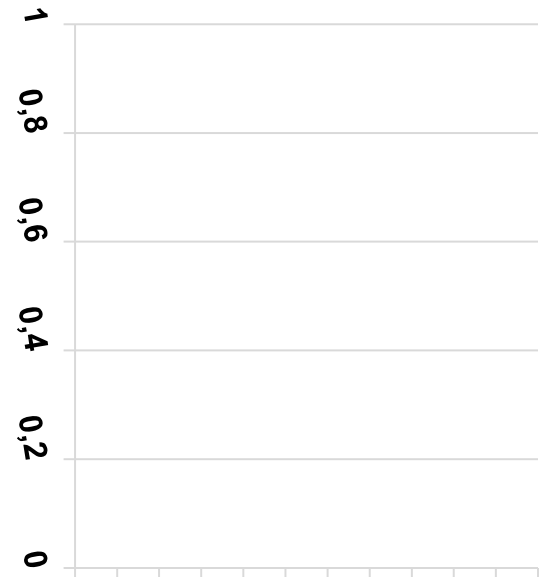
■ 2016



**BW: +11%**

■ 2011

■ 2016

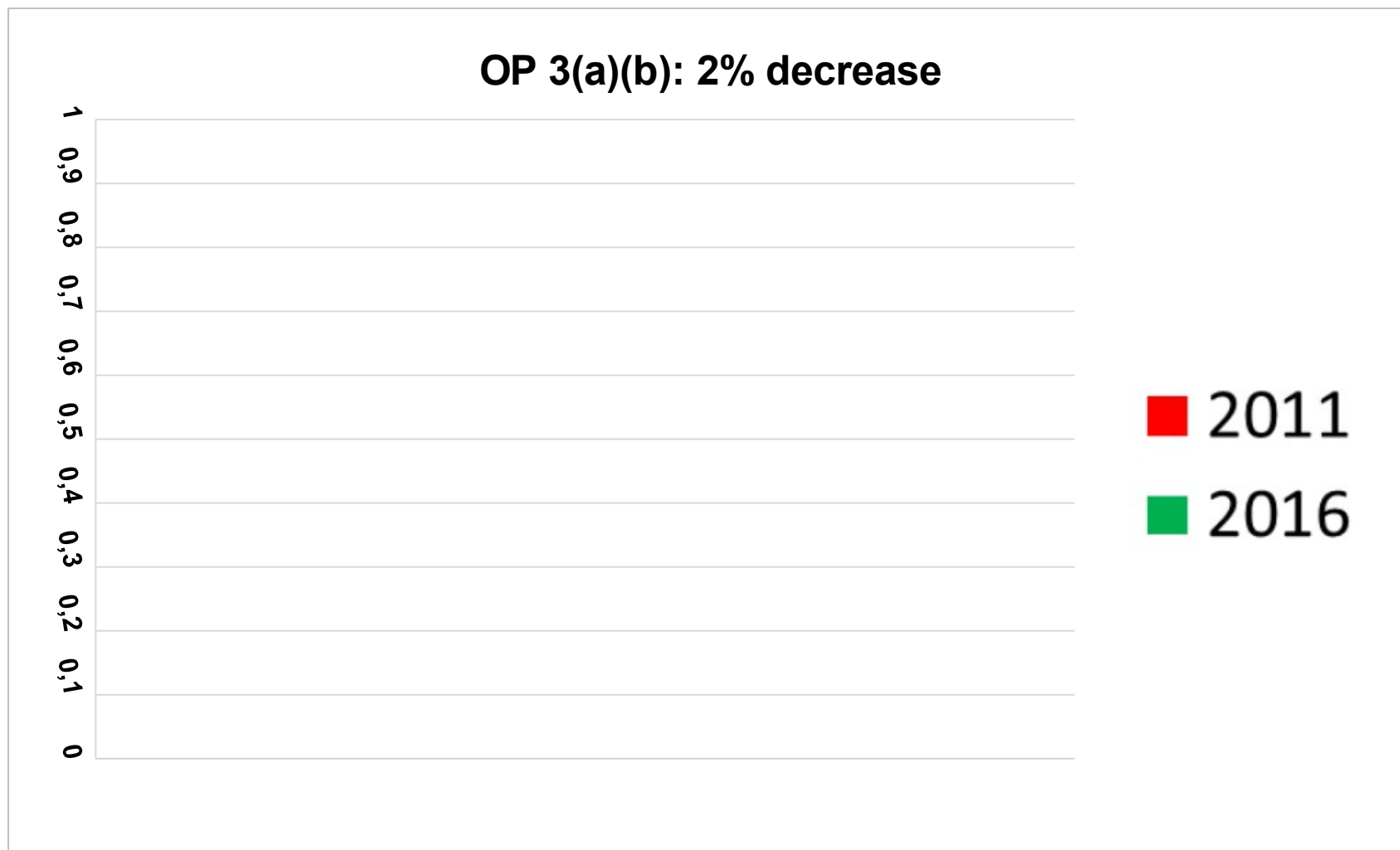




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1540 Matrix measures: OP 3(a)(b) – NW/CW/BW accounting & physical protection - Pacific Islands





# 1540 Committee

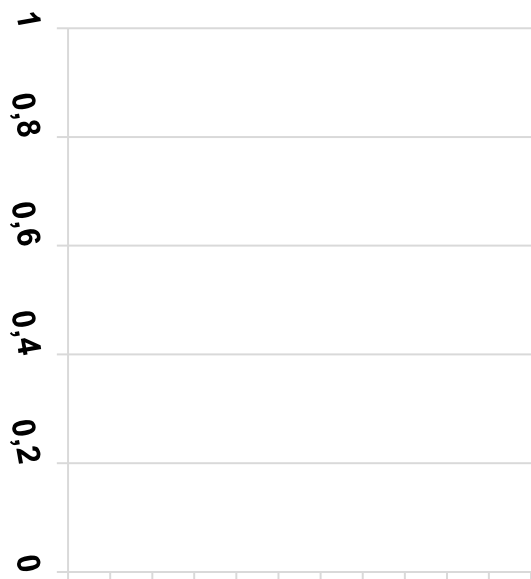
Security Council Committee established pursuant to resolution 1540 (2004)

1540 Matrix measures: OP 3(a)(b) – NW/CW/BW accounting & physical protection - Pacific Islands

**NW: +2%**

■ 2011

■ 2016



**CW: 0%**

■ 2011

■ 2016



**BW: -9%**

■ 2011

■ 2016





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1540 Matrix measures: OP 3(c)(d) – NW/CW/BW border & export controls – Pacific Islands





# 1540 Committee

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1540 Matrix measures: OP 3(c)(d) – NW/CW/BW border & export controls – Pacific Islands

**NW: +2%**

■ 2011

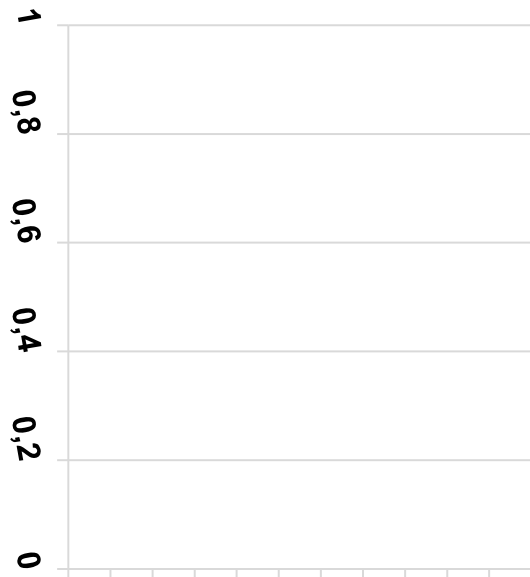
■ 2016



**CW: +2%**

■ 2011

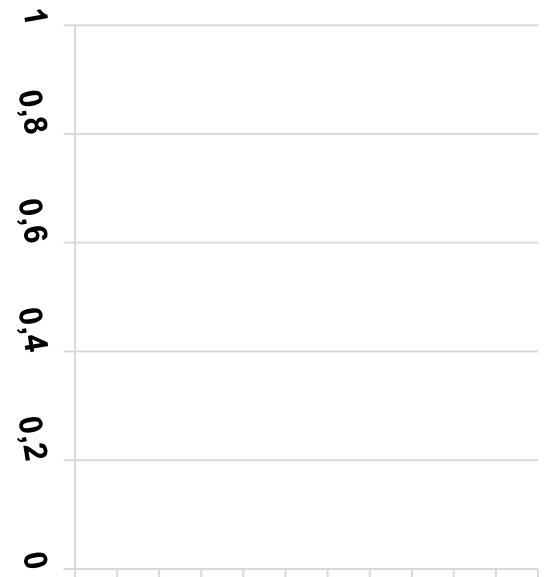
■ 2016



**BW: -1%**

■ 2011

■ 2016





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## Matrix developments

	2011	2016	2021
OP2	14 entries	14 entries	12 entries
OP3(a)(b)	29 entries	22 entries	10 entries
OP3(c)(d)	27 entries	27 entries	16 entries

Examples)

OP2

- “involvement of non-State actors in above mentioned activities” deleted in 2021
- “attempt to engage in above mentioned activities” added in 2021

OP3(a)(b)

- 3 separate categories for “licensing/registration of facilities/persons handling materials” are unified into one category in 2016
- “regulations for genetic engineering work” “Reporting Schedule chemicals to OPCW” etc. are deleted in 2016

OP3(c)(d)

- “individual licensing” “general licensing” “licensing of deemed export/visa” “updating of lists” “control of importation” etc. are deleted in 2021



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## National Implementation Action Plan (NAP)

UNSCR 1810  
(2008) OP 4

UNSCR 1977  
(2011) OP 8

UNSCR 2325  
(2016) OP 5

Encourage States to prepare on a voluntary basis national implementation action plans, with the assistance of the 1540 Committee as appropriate, mapping out their priorities and plans for implementing the key provisions of resolution 1540 (2004), and to submit these plans to the Committee



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## Indicative Elements of a NAP

- One size does not fit all
- NAP should **fit national realities and priorities**
- **Scope, structure, content and format** may vary
- The 1540 Committee is **not prescriptive** in terms of format and content of a NAP
- Objective of a NAP: **full implementation** of resolution 1540 (2004)

[Home](#) / [National Implementation](#) / [National Implementation Action Plans](#)

### National Implementation Action Plans

Turkmenistan	11 June 2018	<a href="#">Action Plan of Turkmenistan for the implementation of Resolution 1540 (2004)</a>
Uruguay	18 May 2018	<a href="#">Action Plan of Uruguay for the implementation of Resolution 1540 (2004)</a>
Serbia	29 March 2018	<a href="#">Action Plan (2018-2022) of Serbia for the implementation of Resolution 1540 (2004)</a>
Panama	13 October 2017	<a href="#">Action Plan of Panama for the implementation of Resolution 1540 (2004)</a>
Tajikistan	7 September 2017	<a href="#">Action Plan of Tajikistan for the implementation of Resolution 1540 (2004)</a>
Chile	18 August 2017	<a href="#">Action Plan of Chile for the implementation of Resolution 1540 (2004)</a>
Kyrgyzstan	14 August 2017	<a href="#">Action Plan of Kyrgyzstan for the implementation of Resolution 1540 (2004)</a>
Peru	27 July 2017	<a href="#">Action Plan of Peru for the implementation of Resolution 1540 (2004)</a>
Belize	26 July 2017	<a href="#">Action Plan of Belize for the implementation of Resolution 1540 (2004)</a>



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## Characteristics of a NAP

- What could be done? - “...**priorities and plans** for implementing the key provisions of resolution 1540 (2004)”
- Who will be involved? – All **National stakeholders**
- What needs to be covered? – **Gaps** that might exist in **all the areas** relevant to resolution 1540 (2004)
- Value – Enhancement of **inter-agency coordination** and key stakeholders engagement



Workshop on drafting a voluntary national action plan on the implementation of resolution 1540, 5-6 June 2019, Antananarivo, Madagascar



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## Benefits of NAP

Because at the time of its developing, you will have to:

- Identify all key stakeholders
  - Identify their responsibilities
  - Establish coordinating mechanisms
  - Examine existing legislative and regulatory framework
  - Analyze enforcement and civil/criminal penalties
  - Evaluate the existing gaps
- Define priorities to fill these gaps
  - Examine the reasons for these weaknesses and the potential opportunities associated with their overcoming
  - Identify possible courses of action and time frames that could help fill the gaps, and appropriate options
  - Consider external assistance
- Perform/implement the appropriate measures
  - Evaluate measures taken, [and then] reevaluate the implementation, and update the NAP, if appropriate
  - Follow up



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## Drafting NAP

- **The 1540 Committee and its Group of Experts** support drafting the NAPs.
- Discuss Voluntary NAP during **national round tables** during **visits to States** at their invitation
- Joint actions **UNODA and/or Regional Organizations** in support of NAPs drafting
- Recent assistance provided to:
  - ❖ Madagascar
  - ❖ Zambia
  - ❖ El Salvador
  - ❖ Guatemala
  - ❖ Mongolia
  - ❖ Mauritania
  - ❖ Timor Leste
  - ❖ Uruguay
  - ❖ Myanmar
  - ❖ Peru







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## Assistance

The Security Council:

- Recognizes that many States require assistance in implementing resolution 1540 (2004),
- Emphasizes the importance of providing States, in response to their requests, with effective assistance that meets their needs,
- Reinforces the role of the 1540 Committee in providing and facilitating effective assistance

- UNSCR 1540 (2004)
- UNSCR 1673 (2006)
- UNSCR 1810 (2008)
- UNSCR 1977 (2011)
- UNSCR 2325 (2016)

Assistance is addressed



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## Assistance Requests

### Options:

- Submitting a Note Verbale to the 1540 Committee through the requesting State's Permanent Mission to the UN in New York
- Being incorporated in the national reports
- Being included in the national implementation action plans.
- Being discussed during the Visit to States at their invitation, and followed by a formal request.

1540 Committee Assistance Request Template
<u>Name of Requesting State</u> .....
<b>1. Contact Information</b> <i>Give detailed contact information of the responsible person (s) familiar with the required assistance (e.g. designated 1540 Point of Contact). This helps potential assistance providers and the 1540 Committee to better facilitate the assistance needed.</i>
<b>Primary point of contact for assistance request</b>  Name / Position: Address: Phone: E-mail Address:
<b>Secondary point of contact for assistance request (if applicable by Member States)</b>  Name / Position: Address: Phone: E-mail Address:

In all cases, States are encouraged to use the assistance template when submitting their assistance requests



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## Exchanges of Information

### Peer Review Exercise

- Paraguay and Uruguay and Dominican Republic and Panama in 2019
- Chile and Colombia in 2017
- Belarus, Kyrgyzstan and Tajikistan during 2014-17
- Croatia and Poland in 2013





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## **CONTACT DETAILS**

### **Chair of the 1540 Committee**

Secretariat of the 1540 Committee

Attention: Chair, 1540 Committee  
2 United Nations Plaza, Room DC2-0870 United Nations, New York,  
NY 10017

Fax: +1 (212) 963-1300, Email: [sc-1540-Committee@un.org](mailto:sc-1540-Committee@un.org)

### **1540 Committee Group of Experts**

304 East, 44nd Street, 13th Floor, New York, NY 10017  
Fax: +1 (917) 367 9358, Email: [1540experts@un.org](mailto:1540experts@un.org)

### **1540 Committee Website**

[www.un.org/sc/1540](http://www.un.org/sc/1540)